

富蘭克林證券投資顧問股份有限公司 函

受文者：各銀行信託部

發文日期：中華民國 111 年 3 月 7 日

發文字號：(111)富字第 03-015 號

速別：

密等及解密條件或保密期限：

附件：共 2 件

主旨：茲通知 貴公司、Franklin/Templeton Distributors, Inc. (“FTDI”)和本公司間關於在臺銷售境外基金所訂及其增補之銷售契約，詳如說明，請 查照。

說明：

- 一、民國(下同)110 年富蘭克林坦伯頓集團進行全球企業重組，FTDI 自 110 年 7 月 1 日併入富蘭克林/坦伯頓承銷有限公司 (“FTD LLC”)，由 FTD LLC 作為存續公司。110 年 7 月 7 日，FTD LLC 併入美盛投資服務有限公司 (“LMIS”)，由 LMIS 作為存續公司，且 LMIS 更名為富蘭克林承銷有限公司 (“FD LLC”)。因此，自 110 年 7 月 7 日 (“生效日期”)起，銷售契約的其中一方將從 FTDI 變更為 FD LLC，據此銷售契約中關於“富蘭克林”的所有約定應變更為關於 FD LLC 的所有約定。
- 二、如果 貴公司關於本函(含附件)內容有任何問題，請於本函日期後之 7 日內盡快與本公司聯繫。

正本：各銀行信託部

副本：

董事長 嚴守白

3 March 2022

Franklin Templeton Securities Investment Consulting (SinoAm) Inc.

富蘭克林證券投資顧問股份有限公司

8F, 87, Sec.4,

Chung Hsiao E. Road,

Taipei, Taiwan

R.O.C.

KGI Bank Co., Ltd.

凱基商業銀行股份有限公司

9F., 10F. & 11F., No. 135,

Dunhua N. Rd.,

Taipei, Taiwan

R.O.C

您好：

Dear Sirs,

根據 貴公司與 Franklin/Templeton Distributors, Inc. (“FTDI”) 和富蘭克林證券投資顧問股份有限公司間關於在臺銷售境外基金所訂及其增補之銷售契約。

We refer to the sub-distribution agreement between you, Franklin/Templeton Distributors, Inc. (“FTDI”) and Franklin Templeton Securities Investment Consulting (SinoAm) Inc. as amended and supplemented from time to time (the “Agreement”), in relation to distribution of the Offshore Funds (as defined in the Agreement) in Taiwan.

2021 年富蘭克林坦伯頓集團進行全球企業重組，FTDI 自 2021 年 7 月 1 日併入富蘭克林/坦伯頓承銷有限公司 (“FTD LLC”)，由 FTD LLC 作為存續公司。2021 年 7 月 7 日，FTD LLC 併入美盛投資服務有限公司 (“LMIS”)，由 LMIS 作為存續公司，且 LMIS 更名為富蘭克林承銷有限公司 (“FD LLC”)，主要辦公室位於美國馬里蘭州巴爾的摩國際大道 100 號。因此，自 2021 年 7 月 7 日 (“生效日期”) 起，銷售契約的其中一方將從 FTDI 變更為 FD LLC，據此銷售契約中關於“富蘭克林”的所有約定應變更為關於 FD LLC 的所有約定。自生效之日起，FD LLC 受銷售契約條款和條件的約束並從中受益。除非銷售契約另有規定，銷售契約的條款和條件將持續有效。

As part of the 2021 Franklin Templeton global corporate reorganization, on 1 July 2021, FTDI merged into Franklin/ Templeton Distributors, LLC (“FTD LLC”), with FTD LLC as the surviving company. On 7 July 2021, FTD LLC then merged into Legg Mason Investor Services, LLC (“LMIS”), with LMIS as the surviving company, and LMIS changed its name to “Franklin Distributors, LLC” (“FD LLC”) on the same date, with its principal business address at 100 International Drive, Baltimore, MD, 21202, United States. As a

consequence, the contracting party of the Agreement shall change from FTDI to FD LLC with effect from 7 July 2021 (the “Effective Date”), and all references to “Franklin” in the Agreement shall be understood as references to FD LLC. FD LLC is bound by, and has the benefit of, the terms and conditions of the Agreement from the Effective Date. Unless otherwise provided herein, the terms and conditions of the Agreement shall continue to be in full force and effect.

感謝 貴公司對富蘭克林坦伯頓集團的支持，期待在未來繼續保持雙贏的業務關係。 We would like to take this opportunity to thank you for the trust you have placed in all of us at Franklin Templeton, and we look forward to a continued successful business relationship going forward.

中英文版本如有歧異，概以英文版本為準。
In case of any discrepancy between the English and Chinese versions, the English version shall prevail.

如果 貴公司需要有關本函任何方面的任何澄清，請於本函日期之後在合理可行的情況下盡快聯繫我們的臺灣總代理人富蘭克林證券投資顧問股份有限公司 [電話：[886-2-2781-0088]，電郵：[twn-ft-banks@ftsice.com.tw]] (但無論如何不得遲於本函日期後 7 天)。

Should you require any clarification in relation to any aspect of this letter, please contact Franklin Templeton Securities Investment Consulting (SinoAm) Inc., our Taiwan Master Agent at [Tel: [886-2-2781-0088], Email: [twn-ft-banks@ftsice.com.tw]] as soon as reasonably practicable following the date of this letter (but in no event later than 7 days after the date of this letter).

此致

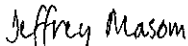
Yours faithfully,

為及代表

For and on behalf of

Franklin Distributors, LLC

富蘭克林承銷有限公司

DocuSigned by:

DFC8903CEAEE4C6

姓名：Jeffrey Masom

職稱：總裁

Name: Jeffrey Masom

Title: President

Certificate Of Completion

Envelope Id: B1D87CEE3D7B4873A76BBBD3338D1B0C Status: Completed
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Document Pages: 68 Signatures: 32 Envelope Originator: Holly Gifford
Certificate Pages: 1 Initials: 0 Holly.Gifford@franklintempleton.com
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Signer Events

Jeffrey Masom
jeff.masom@franklintempleton.com
President
Security Level: Email, Account Authentication (None)

Signature

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Signature Adoption: Pre-selected Style
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Signed: 3/3/2022 9:35:56 AM

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Editor Delivery Events

Status

Timestamp

Agent Delivery Events

Status

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Intermediary Delivery Events

Status

Timestamp

Certified Delivery Events

Status

Timestamp

Carbon Copy Events

Status

Timestamp

Jenny Tung
Jenny.Tung@franklintempleton.com
Paralegal
Franklin Templeton
Security Level: Email, Account Authentication (None)

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Envelope Summary Events

Status

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Payment Events

Status

Timestamps