

## 柏瑞證券投資信託股份有限公司 函

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受文者：凱基商業銀行信託處

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速別：普通件

密等及解密條件或保密期限：

附件：如說明

主旨：謹通知本公司總代理之柏瑞環球基金系列（下稱「本基金」）  
修訂公開說明書一事，請查照。

說明：

一、本基金修訂公開說明書，本次修正內容主要重點如下：

（一）柏瑞環球動態資產配置基金：

（a）由於子基金將在不參考基準的情況下進行管理，因此  
附錄將更新以刪除美國消費者價格指數（不含食品和能源）

（US Consumer Price Index Ex Food and Energy）加5%  
作為的基準（「基準」）。子基金刪除基準是因為這更符合  
子基金現有的投資目標和政策。請注意，投資經理認為將  
基準從附錄中刪除不會對子基金的投資目標和政策的實施產  
生任何重大影響，這並不反映相關投資組合或該資產管理方  
式的任何變化。刪除基準不會導致子基金的整體風險狀況發  
生任何重大變化或增加，也不會對單位持有人的權利或利益  
產生重大不利影響（包括可能限制單位持有人行使其權利  
的變化）。

（b）商品風險被視為子基金的主要風險因素，並將新增到  
附錄的風險因素一節以進行澄清。

（c）於附錄中將新增相關內容，列出子基金符合《永續經  
濟活動分類規則》的投資方法，以及《永續經濟活動分類  
規則》所規定的「不造成重大損害」原則相關的揭露。請  
注意，這僅是對揭露的加強，並不代表本基金管理方式的  
任何變化。

(二) 本次變更不會導致或代表相關子基金的投資目標及政策發生任何變化。

二、詳細內容敬請參閱附件基金經理公司於2022年9月1日寄發予基金單位持有人之通知信。

三、該等修訂將於2022年9月15日當日生效，並於最新版之公開說明書中載列。最新版之公開說明書可於境外基金資訊觀測站 (<http://announce.fundclear.com.tw>) 中下載或可向本公司索取。

正本：臺灣中小企業銀行信託部、日盛商業銀行個人理財處、陽信銀行信託部、兆豐國際商業銀行信託部、星展(台灣)商業銀行信託部、安泰商業銀行理財產品部、滙豐(台灣)銀行信託及投資作業部、凱基商業銀行信託處、瑞興銀行信託部、中國信託商業銀行股份有限公司、日盛證券股份有限公司、富邦人壽保險股份有限公司、法商法國巴黎人壽保險股份有限公司台灣分公司、合作金庫人壽保險股份有限公司、台灣人壽保險股份有限公司、合作金庫商業銀行信託部、遠東國際商業銀行股份有限公司、渣打國際商業銀行股份有限公司、第一商業銀行股份有限公司、臺灣銀行股份有限公司信託部、聯邦商業銀行股份有限公司、玉山商業銀行股份有限公司、彰化商業銀行股份有限公司、華泰商業銀行股份有限公司、臺灣新光商業銀行股份有限公司信託部、國泰世華商業銀行股份有限公司、台北富邦商業銀行股份有限公司、永豐商業銀行股份有限公司、上海商業儲蓄銀行股份有限公司、元大商業銀行股份有限公司、華南商業銀行股份有限公司、京城商業銀行股份有限公司、台中商業銀行股份有限公司、三信商業銀行股份有限公司、高雄銀行股份有限公司、板信商業銀行股份有限公司、台新國際商業銀行股份有限公司、凱基證券股份有限公司、兆豐證券股份有限公司、元富證券股份有限公司、統一綜合證券股份有限公司、群益金鼎證券股份有限公司、永豐金證券股份有限公司、華南永昌綜合證券股份有限公司、基富通證券股份有限公司、富邦綜合證券股份有限公司、元大證券股份有限公司、容海國際證券投資顧問股份有限公司、鉅亨證券投資顧問股份有限公司、中租證券投資顧問股份有限公司、萬寶證券投資顧問股份有限公司、南山人壽保險股份有限公司、遠雄人壽保險事業股份有限公司、安聯人壽保險股份有限公司、中國人壽保險股份有限公司、全球人壽保險股份有限公司、宏泰人壽保險股份有限公司、國泰人壽保險股份有限公司、英屬百慕達商安達人壽保險股份有限公司台灣分公司

副本：陽信銀行財富管理部、星展(台灣)商業銀行財富管理部、滙豐(台灣)銀行財富管理部、瑞興銀行財管部、臺灣新光商業銀行股份有限公司 (均含附件)

總經理 董俊男

(中譯文僅供參考，與原文相較不盡完整，亦有歧異，如有疑義應以英文本為準)

日期：2022年9月1日

此乃重要函件，務請閣下即時處理。如閣下對本函件的内容或應採取的行動有任何疑問，應聯絡閣下的客戶服務代表、柏瑞於當地的辦事處或尋求獨立專業意見。

致柏瑞環球動態資產配置基金（為柏瑞環球基金的子基金）的投資者

有關：柏瑞環球基金（「本基金」）

對本基金的若干子基金作出建議變更的通知：-

- (略)
- (略)
- 柏瑞環球動態資產配置基金
- (略)<sup>1</sup>

（各稱及統稱為「子基金」）

致投資者：

謹此致函通知閣下，若干子基金的附錄（各稱為「附錄」）及適用的當地說明文件（統稱「發售文件」）將作出多項變更。對發售文件作出的建議變更（「建議變更」）於本函件附件一中概述。

建議變更為一般更新，因此無需閣下採取任何行動。對發售文件作出的變更預期將於 2022 年 9 月 15 日當日或前後（「生效日期」）生效。經更新的發售文件（對於香港投資者，連同本基金的經修訂產品資料概要）將於生效日期當日或前後由 PineBridge Investments Ireland Limited（「基金經理」）或本基金的行政及過戶代理人 State Street Fund Services (Ireland) Limited，以及本基金香港代表（適用於香港居民單位持有人）柏瑞投資亞洲有限公司及本基金新加坡代表（適用於新加坡居民單位持有人）PineBridge Investments Singapore Limited 的辦事處免費提供。草擬及實行建議變更的法律及行政費用將由各子基金承擔。

經更新的發售文件（對香港投資者而言，連同證監會認可子基金的产品資料概要）亦可於 [www.pinebridge.com](http://www.pinebridge.com) 獲取（香港投資者請訪問 [www.pinebridge.com.hk](http://www.pinebridge.com.hk) <sup>2</sup>獲取）。

除另有訂明外，本函件所用詞彙與發行章程中所界定者具相同涵義。

<sup>1</sup> 請注意，該子基金未獲香港證券及期貨事務監察委員會認可，因此不向香港公眾發售。

<sup>2</sup> 該網址未經證監會審閱。香港投資者應注意，該網站 [www.pinebridge.com](http://www.pinebridge.com) 並非專為香港居民而設，並可能包含未經證監會認可之基金的資料。



本函件所載資料乃根據事實，且並無遺漏任何可能影響該等資料涵義之內容。基金經理之董事就本函件所載之資料於刊發日期當日的準確性負責。

如欲索取更多資訊，請聯絡：

居於歐洲／英國的投資者，請聯絡 PineBridge Investments Ireland Limited，地址為 The Observatory Building, 4<sup>th</sup> Floor, 7-11 Sir John Rogerson's Quay, Dublin 2, Ireland，電話：+353 1 697 3919。

居於香港的投資者，請聯絡柏瑞投資亞洲有限公司，地址為香港皇后大道東一號太古廣場三座三十一樓，電話：+852 3970 3938。

居於新加坡的投資者，請聯絡 PineBridge Investments Singapore Limited，地址為 One George Street, 1 George Street, Unit 21-06, Singapore 049145，電話：+65 6571 9360。

此致

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董事  
代表  
PineBridge Investments Ireland Limited

附件一

對發售文件的建議變更摘要

附錄的特定變更

請注意，以下變更不會導致或代表相關子基金的投資目標及政策發生任何變化。

(i) (略)

(ii) (略)

(iii) 柏瑞環球動態資產配置基金

(a) 由於子基金將在不參考基準的情況下進行管理，因此附錄將更新以刪除以美國消費者價格指數(不含食品和能源) (US Consumer Price Index Ex Food and Energy)加 5%作為的基準（「基準」）。子基金刪除基準是因為這更符合子基金現有的投資目標和政策。請注意，投資經理認為將基準從附錄中刪除不會對子基金的投資目標和政策的實施產生任何重大影響，這並不反映相關投資組合或該資產管理方式的任何變化。刪除基準不會導致子基金的整體風險狀況發生任何重大變化或增加，也不會對單位持有人的權利或利益產生重大不利影響（包括可能限制單位持有人行使其權利的變化）。

(b) 商品風險被視為子基金的主要風險因素，並將添加到附錄的風險因素一節以進行澄清。

(c) 將添加 A5H 的單位類別的 ISIN 資料。

(d) 於附錄中將添加相關內容，列出子基金符合《永續經濟活動分類規則》的投資方法，以及《永續經濟活動分類規則》所規定的「不造成重大損害」原則相關的披露。請注意，這是對披露的加強，並不代表本基金管理方式的任何變化。

(iv) (略)<sup>3</sup>

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<sup>3</sup> 請注意，該子基金未獲香港證券及期貨事務監察委員會認可，因此不向香港公眾發售。



Date: 1 September 2022

This letter is important and requires your immediate attention. If you have any questions regarding the content or action to be taken, please contact your client services representative, local PineBridge office or seek independent professional advice.

To investors of PineBridge Asia Dynamic Asset Allocation Fund, PineBridge Asian High Yield Total Return Bond Fund, PineBridge Global Dynamic Asset Allocation Fund and PineBridge Global Emerging Markets Corporate Bond Fund, each a sub-fund of PineBridge Global Funds

**RE: PINEBRIDGE GLOBAL FUNDS (THE "FUND")**  
**NOTIFICATION OF PROPOSED CHANGES TO CERTAIN SUB-FUNDS OF THE FUND:-**

- PINEBRIDGE ASIA DYNAMIC ASSET ALLOCATION FUND
- PINEBRIDGE ASIAN HIGH YIELD TOTAL RETURN BOND FUND
- PINEBRIDGE GLOBAL DYNAMIC ASSET ALLOCATION FUND
- PINEBRIDGE GLOBAL EMERGING MARKETS CORPORATE BOND FUND<sup>1</sup>

(each a "Sub-Fund" and together the "Sub-Funds")

Dear Investor,

The purpose of this letter is to notify you of a number of changes that will be made to the supplements for certain Sub-Funds (each a "Supplement") and the applicable local covering documents (together the "Offering Documents"). The proposed changes being made to the Offering Documents are summarised in Appendix 1 to this letter (the "Proposed Changes").

*The Proposed Changes are general updates and so do not require any action on your part.* Changes to the Offering Documents are expected to become effective on or around 15 September 2022 (the "Effective Date"). The updated Offering Documents (and for Hong Kong investors, together with the revised Product Key Facts Statement of the Fund) will be made available, free of charge, on or around the Effective Date from PineBridge Investments Ireland Limited (the "Manager") or the Fund's Administrator and Transfer Agent, State Street Fund Services (Ireland) Limited, and from the offices of PineBridge Investments Asia Limited, the Fund's Hong Kong representative (for Hong Kong resident Unitholders) and PineBridge Investments Singapore Limited, the Fund's Singapore representative (for Singapore resident Unitholders). The legal and administrative costs of drafting and implementing the Proposed Changes will be borne by the respective Sub-Funds.

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<sup>1</sup> Please note that this Sub-Fund is not authorised by the Securities and Futures Commission of Hong Kong and is therefore not available to the public in Hong Kong.



The updated Offering Documents (and for Hong Kong investors together with the Product Key Facts Statements of the SFC-authorised Sub-Funds) will also be available on [www.pinebridge.com](http://www.pinebridge.com) (and for Hong Kong investors on [www.pinebridge.com.hk](http://www.pinebridge.com.hk)<sup>2</sup>).

All capitalised terms used but not defined herein shall have the meaning ascribed to them in the Prospectus.

The information contained in this letter is in accordance with the facts and does not omit anything likely to affect the import of such information. The Directors of the Manager accept responsibility for the information contained in this letter as being accurate at the date of publication.

For further information, please do not hesitate to contact:

**Investors resident in Europe/UK**, please contact PineBridge Investments Ireland Limited, The Observatory Building, 4<sup>th</sup> Floor, 7-11 Sir John Rogerson's Quay, Dublin 2, Ireland Tel: +353 1 697 3919.

**Investors resident in Hong Kong**, please contact PineBridge Investments Asia Limited, Level 31, Three Pacific Place, 1 Queen's Road East, Hong Kong Tel: +852 3970 3938.

**Investors resident in Singapore**, please contact PineBridge Investments Singapore Limited, One George Street, 1 George Street, Unit 21-06, Singapore 049145, Tel: +65 6571 9360.

Yours sincerely,

A handwritten signature in black ink, appearing to read "Michael Sweeney", with a stylized flourish at the end.

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**Director**  
for and on behalf of PineBridge Investments Ireland Limited

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<sup>2</sup> The website has not been reviewed by the SFC. Hong Kong investors should also note that the website [www.pinebridge.com](http://www.pinebridge.com) is not specifically directed at Hong Kong residents and may contain information of funds not authorised by the SFC.



**APPENDIX 1****Summary of proposed changes being made to the Offering Documents****Supplement Specific Changes**

Please note that the following changes do not result in or represent any change to the Investment Objectives and Policies of the relevant Sub-Funds.

**(i) PineBridge Asia Dynamic Asset Allocation Fund**

Commodity Risk is considered a key risk factor of the Sub-Fund and is being added to the Risk Information Section of the Supplement for clarification purposes.

**(ii) PineBridge Asian High Yield Total Return Bond Fund**

(a) Concentration Risk, Dim Sum Bonds Market Risks (which relates to the offshore RMB (CNH) denominated bond market, in which the Sub-Fund invests) and Sovereign Debt Risk are all considered key risk factors of the Sub-Fund and are being added to the Risk Information Section of the Supplement for clarification purposes.

(b) ISIN information is being added to Y and Z unit classes.

(c) Language is being added to the Supplement setting out the Sub-Fund's approach to investments aligned with the Taxonomy Regulation, as well as disclosures relating to the “do no significant harm” principle as required under the Taxonomy Regulation. Please note that this is an enhancement of the disclosure and does not represent any change in the way in which the Fund is being managed.

**(iii) PineBridge Global Dynamic Asset Allocation Fund**

(a) The Supplement is being updated to remove the US Consumer Price Index Ex Food and Energy (CPI) + 5% as a benchmark (the “Benchmark”), as the Sub-Fund is to be managed without reference to a benchmark. The Sub-Fund is removing the Benchmark on the basis that this more appropriately aligns with the Sub-Fund's existing investment objective and policy. Please note that the Investment Manager does not consider the removal of the Benchmark from the Supplement to have any material impact on the implementation of the Sub-Fund's investment objective and policy, and this does not reflect any change in the underlying portfolio or how these assets are managed. The removal of the Benchmark will not result in any material change or increase in the overall risk profile of the Sub-Fund and does not have a material adverse impact on Unitholders' rights or interests (including changes that may limit Unitholders' ability in exercising their rights).

(b) Commodity Risk is considered a key risk factor of the Sub-Fund and is being added to the Risk Information Section of the Supplement for clarification purposes.

(c) ISIN information is being added to A5H unit class.

(d) Language is being added to the Supplement setting out the Sub-Fund's approach to investments aligned with the Taxonomy Regulation, as well as disclosures relating to the “do no significant harm” principle as required under the Taxonomy Regulation. Please note that this is an enhancement of the disclosure and does not represent any change in the way in which the Fund is being managed.

**(iv) PineBridge Global Emerging Markets Corporate Bond Fund<sup>3</sup>**

(a) ISIN information is being added to ZD unit class.

(b) Language is being added to the Supplement setting out the Sub-Fund's approach to investments aligned with the Taxonomy Regulation, as well as disclosures relating to the “do no significant harm” principle as required under the Taxonomy Regulation. Please note that this is an enhancement of the disclosure and does not represent any change in the way in which the Fund is being managed.

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<sup>3</sup> Please note that this Sub-Fund is not authorised by the Securities and Futures Commission of Hong Kong and is therefore not available to the public in Hong Kong.