

## 施羅德證券投資信託股份有限公司 函

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受文者：如行文單位

發文日期：中華民國112年1月17日

發文字號：施羅德業字第1120000009號

速別：普通件

密等及解密條件或保密期限：

附件：境外基金公司致股東信、金管會核准函

主旨：謹通知本公司總代理之「施羅德環球基金系列-金磚四國」  
（下稱「本基金」）變更投資目標、投資政策及基金中英文名稱事宜，詳後說明，請 查照。

說明：

- 一、依據境外基金公司通知，因應本基金之目標基準的組成成份及名稱變動，本基金之投資目標、投資政策及中英文名稱將自中華民國（下同）112年4月18日（「生效日」）起變更，詳細變更說明、內容及本基金各級別ISIN Code等資訊，請詳附件一「境外基金公司致股東信」。
- 二、本基金擬變更基金中英文名稱乙案，業經金融監督管理委員會於111年9月20日金管證投字第1110144746號函核准（詳附件二）。
- 三、如 貴公司投資人無意於變生效後繼續持有本基金，可於112年4月17日下午5時正交易截止時間前進行贖回或轉換，境外基金公司將依據公開說明書規定免費執行贖回或轉換指示。
- 四、謹請 查照轉知。

正本：法商法國巴黎銀行台北分公司、高雄銀行信託部、星展(台灣)商業銀行股份有限公司、彰化商業銀行股份有限公司、花旗(台灣)商業銀行股份有限公司、凱基商業銀行股份有限公司、中國信託商業銀行股份有限公司個人信託部、國泰世華商業銀行股份有限公司信託部、玉山商業銀行股份有限公司、安泰商業銀行股份有限公司、合作金庫商業銀行、第一商業銀行股份有限公司、遠東國際商

請  
註  
意



17 January 2023

Dear Shareholder,

## **Schroder International Selection Fund (the "Company") - BRIC (Brazil, Russia, India, China) (the "Fund")**

We are writing to inform you that the constituents and name of the Fund's target benchmark have changed and that on 18 April 2023 (the "Effective Date") the Fund's name, investment objective and investment policy will change.

### **Background and rationale**

Following the Russian invasion of Ukraine and subsequent sanctions, Russia was removed from the Fund's target benchmark, MSCI BRIC (Net TR) 10/40 index. With effect from 14 July 2022 the target benchmark was renamed MSCI BIC (Net TR) 10/40 index. This change has been reflected in the Fund's prospectus. In this context there was an additional review of the Fund and it was decided to remove Russia from the investment objective and policy, and to change the name accordingly.

In the context of the unprecedented geo-political situation caused by Russia's invasion of the Ukraine and the resultant impact of sanctions and the actions of governments and market counterparties on certain Russian issuers and assets, the Fund's residual holdings in Russian assets (the "Russian Assets") are currently valued at zero. These Russian Assets represented approximately 0.35% of the Fund's portfolio as of 3 March 2022 when the value was reduced to zero. In the event that value returns to these assets they will be returned to the net asset value of the Fund and will be sold by the Investment Manager taking into account the best interests of the shareholders in line with the Fund's amended investment strategy applicable as from the Effective Date.

### **Investment Objective and Policy Change**

From the Effective Date the Fund's Investment Objective and policy will change as shown in Appendix 1.

### **Name Change**

From the Effective Date the Fund's name will change from:

Schroder International Selection Fund BRIC (Brazil, Russia, India, China)

To:

Schroder International Selection Fund BIC (Brazil, India, China)

The extent of the change to the risk/reward profile of the Fund as a result of these changes is non-significant.

All other key features of the Fund, including the synthetic risk and return indicator (the SRRI) and fees, will remain the same.

**Redeeming or switching your shares to another Schroders fund**

We hope that you will choose to remain invested in the Fund following these changes, but if you do wish to redeem your holding in the Fund or to switch into another of the Company's sub-funds before the changes become effective you may do so at any time up to and including deal cut-off on 17 April 2023. Please ensure that your redemption or switch instruction reaches HSBC Continental Europe, Luxembourg ("HSBC") before this deadline. HSBC will execute your redemption or switch instructions in accordance with the provisions of the Company's prospectus, free of charge, although in some countries local paying agents, correspondent banks or similar agents might charge transaction fees. Local agents might also have a local deal cut-off which is earlier than that described above, so please check with them to ensure that your instructions reach HSBC before the deal cut-off given above.

You can find the Fund's updated key investor information document (the UCITS KIID) or key information document (PRIIPs KID) for the relevant share class and the Fund's Prospectus at [www.schroders.lu](http://www.schroders.lu).

If you have any questions or would like more information about Schroders' products please visit [www.schroders.com](http://www.schroders.com) or contact your local Schroders office, your usual professional adviser, or Schroder Investment Management (Europe) S.A. on (+352) 341 342 202.

Yours faithfully,

**The Board of Directors**

## Appendix 1

Deletions are shown as crossed out text

Previous Investment Objective and Policy	New Investment Objective and Policy
<p><b>Investment Objective</b></p> <p>The Fund aims to provide capital growth in excess of the MSCI BIC (Net TR) 10/40 index after fees have been deducted over a three to five year period, by investing in equity and equity related securities of Brazilian, Russian, Indian and Chinese companies.</p> <p><b>Investment Policy</b></p> <p>The Fund is actively managed and invests at least two-thirds of its assets in a range of equity and equity related securities of Brazilian, Russian, Indian and Chinese companies.</p> <p>The Fund may invest directly in China B-Shares and China H-Shares and may invest less than 20% of its assets (on a net basis) directly or indirectly (for example via participatory notes) in China A-Shares through Shanghai-Hong Kong Stock Connect and Shenzhen-Hong Kong Stock Connect and shares listed on the STAR Board and the ChiNext.</p> <p>The Fund may also invest up to one-third of its asset directly or indirectly in other securities (including other asset classes), countries, regions, industries or currencies, Investment Funds, warrants and Money Market Investments, and hold cash (subject to the restrictions provided in Appendix I).</p> <p>The Fund may use derivatives with the aim of achieving investment gains, reducing risk or managing the Fund more efficiently.</p> <p>The Fund maintains a higher overall sustainability score than the MSCI BIC (Net TR) 10/40 index, based on the Investment Manager's rating criteria. More details on the investment process used to achieve this can be found in the Fund Characteristics section.</p> <p>The Fund does not directly invest in certain activities, industries or groups of issuers above the limits listed under "Sustainability Information" on the Fund's webpage, accessed via <a href="http://www.schroders.com/en/lu/private-investor/gfc">www.schroders.com/en/lu/private-investor/gfc</a>.</p>	<p><b>Investment Objective</b></p> <p>The Fund aims to provide capital growth in excess of the MSCI BIC (Net TR) 10/40 index after fees have been deducted over a three to five year period, by investing in equity and equity related securities of Brazilian, <del>Russian</del>, Indian and Chinese companies.</p> <p><b>Investment Policy</b></p> <p>The Fund is actively managed and invests at least two-thirds of its assets in a range of equity and equity related securities of Brazilian, <del>Russian</del>, Indian and Chinese companies.</p> <p>The Fund may invest directly in China B-Shares and China H-Shares and may invest less than 20% of its assets (on a net basis) directly or indirectly (for example via participatory notes) in China A-Shares through Shanghai-Hong Kong Stock Connect and Shenzhen-Hong Kong Stock Connect and shares listed on the STAR Board and the ChiNext.</p> <p>The Fund may also invest up to one-third of its asset directly or indirectly in other securities (including other asset classes), countries, regions, industries or currencies, Investment Funds, warrants and Money Market Investments, and hold cash (subject to the restrictions provided in Appendix I).</p> <p>The Fund may use derivatives with the aim of achieving investment gains, reducing risk or managing the Fund more efficiently.</p> <p>The Fund maintains a higher overall sustainability score than the MSCI BIC (Net TR) 10/40 index, based on the Investment Manager's rating criteria. More details on the investment process used to achieve this can be found in the Fund Characteristics section.</p> <p>The Fund does not directly invest in certain activities, industries or groups of issuers above the limits listed under "Sustainability Information" on the Fund's webpage, accessed via <a href="http://www.schroders.com/en/lu/private-investor/gfc">www.schroders.com/en/lu/private-investor/gfc</a>.</p>

**Appendix 2**

ISIN codes of the Share Classes impacted by this change:

Share Class	Share Class Currency	ISIN Code
A Accumulation	USD	LU0228659784
A1 Accumulation	USD	LU0228659867 ✓
B Accumulation	USD	LU0228659941
C Accumulation	USD	LU0228660014
C Distribution	USD	LU2004793605
I Accumulation	USD	LU0228660105
S Accumulation	USD	LU1046232473
C Accumulation	CHF	LU0820944402
A Accumulation	EUR	LU0232931963
A Distribution	EUR	LU0858243842
A1 Accumulation	EUR	LU0248178906 ✓
B Accumulation	EUR	LU0232932698
C Accumulation	EUR	LU0232933159
A Distribution	GBP	LU0242619723
A Accumulation	HKD	LU0828237510

本信函為境外基金公司致股東通知信之中文簡譯摘要，僅供台灣投資人參考，文義如與英文版股東通知信有任何差異或不一致的地方，請以英文版本為準。

2023年1月17日

親愛的股東：

## 施羅德環球基金系列（「本公司」） - 金磚四國（「本基金」）

我們茲致函通知閣下，本基金的目標基準的組成成份及名稱已更改，本基金的中英文名稱、投資目標及投資政策將於2023年4月18日（「生效日」）起變更如下文所載。

### 背景資料和原因

繼俄羅斯入侵烏克蘭及其後遭受制裁後，俄羅斯已從本基金的目標基準 MSCI BRIC (Net TR) 10/40 index 中剔除。自2022年7月14日起，目標基準已重新命名為 MSCI BIC (Net TR) 10/40 index。此變更將反映在基金的公開說明書中。就此，本基金進行額外檢討，並已決定將俄羅斯從本基金的投資目標及政策中移除及對本基金中英文名稱作出相應更改。

### 投資目標及政策更改

自生效日起，本基金的投資目標及政策將如附錄一所示作出更改。

### 更改名稱

自生效日起，本基金英文名稱將由「Schroder International Selection Fund - BRIC (Brazil, Russia, India, China)」更改為「Schroder International Selection Fund - BIC (Brazil, India, China)」，其中文名稱將由「施羅德環球基金系列 - 金磚四國」更改為「施羅德環球基金系列 - 新興三國股票 (巴西、印度及中國)」。

### 贖回或轉換至其他施羅德基金

我們希望在此等更改後，閣下仍將選擇投資於本基金，但如閣下有意在更改生效前將閣下在本基金的持股贖回或轉換至本公司其他子基金，則閣下可於2023年4月17日（包括該日在內）下午5時正（香港時間）交易截止時間前任何時間進行有關贖回或轉換。請確保閣下的贖回或轉換指示於此截止時間前送

抵香港代表人。我們將根據本公司的公開說明書條款免費執行閣下的贖回或轉換指示，惟在某些國家，當地付款代理人、往來銀行或類似代理人可收取交易費用。當地代理人亦可實施一個較上述時間為早的當地交易截止時間，故請與該等代理人確定，以確保閣下的指示可於 2023 年 4 月 17 日下午 5 時正（香港時間）交易截止時間前送抵香港代表人。

閣下可於 [www.schroders.lu](http://www.schroders.lu) 查閱本基金相關級別的產品資料概要及本公司的公開說明書。

閣下如有任何疑問或需要更多資料，請致電施羅德投信客服專線電話(02) 8723 6888 查詢。

**董事會**

謹啟



刪除內容以刪除線標示及新增內容以下劃線標示

變更前的投資目標及政策	新的投資目標及政策
<p><b>投資目標</b></p> <p>本基金旨在通過投資於巴西、俄羅斯、印度和中國公司的股本和股本相關證券，在三年至五年期內扣除費用後提供超過 MSCI BRIC (Net TR) 10/40 index 的資本增值。</p> <p><b>投資政策</b></p> <p>本基金被積極管理及將最少三分之二的資產投資於一系列巴西、俄羅斯、印度和中國的公司的股本及股本相關證券。</p> <p>本基金可直接投資於中國 B 股和中國 H 股，亦可將少於 20% 的資產（以淨額計算）直接通過滬港股票市場交易互聯互通機制及深港股票市場交易互聯互通機制或間接（例如通過參與票據）投資於中國 A 股及在科創板及創業板上市的股份。</p> <p>本基金亦可將不多於三分之一資產直接或間接投資於其他證券（包括其他資產類別）、國家、地區、行業或貨幣、投資基金、認股證及貨幣市場投資項目，和持有現金（受附件 I 所載的限制所規限）。</p> <p>本基金可為達致投資增益、減低風險或更有效地管理本基金而運用衍生工具。</p> <p>根據投資經理的評分標準，本基金比 MSCI BRIC (Net TR) 10/40 index 維持較高的整體可持續評分。有關達致此目的所使用的投資過程，詳情請見基金特色一節。</p> <p>本基金不會直接投資於超過本基金網頁「可持續資訊」中所列限制之若干活動、產業或發行人團體，詳見本基金網頁 <a href="http://www.schroders.com/en/lu/private-investor/gfc">www.schroders.com/en/lu/private-investor/gfc</a>。</p>	<p><b>投資目標</b></p> <p>本基金旨在通過投資於巴西、俄羅斯、印度和中國公司的股本和股本相關證券，在三年至五年期內扣除費用後提供超過 <u>MSCI BRICBIC (Net TR)</u> 10/40 index 的資本增值。</p> <p><b>投資政策</b></p> <p>本基金被積極管理及將最少三分之二的資產投資於一系列巴西、俄羅斯、印度和中國的公司的股本及股本相關證券。</p> <p>本基金可直接投資於中國 B 股和中國 H 股，亦可將少於 20% 的資產（以淨額計算）直接通過滬港股票市場交易互聯互通機制及深港股票市場交易互聯互通機制或間接（例如通過參與票據）投資於中國 A 股及在科創板及創業板上市的股份。</p> <p>本基金亦可將不多於三分之一資產直接或間接投資於其他證券（包括其他資產類別）、國家、地區、行業或貨幣、投資基金、認股證及貨幣市場投資項目，和持有現金（受附件 I 所載的限制所規限）。</p> <p>本基金可為達致投資增益、減低風險或更有效地管理本基金而運用衍生工具。</p> <p>根據投資經理的評分標準，本基金比 <u>MSCI BRICBIC (Net TR)</u> 10/40 index 維持較高的整體可持續評分。有關達致此目的所使用的投資過程，詳情請見基金特色一節。</p> <p>本基金不會直接投資於超過本基金網頁「可持續資訊」中所列限制之若干活動、產業或發行人團體，詳見本基金網頁 <a href="http://www.schroders.com/en/lu/private-investor/gfc">www.schroders.com/en/lu/private-investor/gfc</a>。</p>

附錄二

本基金級別及 ISIN Code 列表(下表僅列示台灣註冊之級別):

變更前基金中英文名稱	變更後基金中英文名稱	級別	幣別	ISIN Code
施羅德環球基金系列 - 金磚四國 Schroder International Selection Fund - BRIC (Brazil, Russia, India, China)	施羅德環球基金系列 - 新興三國股 票 (巴西、印度及中國) Schroder International Selection Fund - BIC (Brazil, India, China)	A1-累積	歐元	LU0248178906
		A1-累積	美元	LU0228659867
		A-累積	美元	LU0228659784
		A-累積	歐元	LU0232931963
		C-累積	美元	LU0228660014
		C-累積	歐元	LU0232933159

檔 號：  
保存年限：

## 金融監督管理委員會 函

地址：22041新北市板橋區縣民大道2段7號1  
8樓

承辦人：李文聖  
電話：02-27747428  
傳真：02-87734154


受文者：施羅德證券投資信託股份有限公司（代表人巫慧燕女士  
）

發文日期：中華民國111年9月20日  
發文字號：金管證投字第1110144746號  
速別：普通件  
密等及解密條件或保密期限：  
附件：

主旨：所請在國內代理募集銷售之「施羅德環球基金系列－金磚四國」（Schroder International Selection Fund - BRIC (Brazil, Russia, India, China)）變更名稱為「施羅德環球基金系列－新興三國股票（巴西、印度及中國）」（Schroder International Selection Fund - BIC (Brazil, India, China)）一案，同意照辦，並請依說明事項辦理，請查照。

說明：

- 一、依據貴公司111年8月11日施羅德信字第1110000146號函、111年8月16日、8月17日及9月8日補正資料辦理。
- 二、請自旨揭境外基金名稱變更生效日起1年內，於投資人須知及公開說明書中譯本等銷售文件及通知客戶之資料，並列旨揭基金之新舊名稱。
- 三、貴公司應依境外基金管理辦法第12條第6項規定，於事實發生日起3日內經由本會指定之資訊傳輸系統（www.fundclear.com.tw）辦理公告，並依前揭辦法第37條及第39條之



規定，將修正後之公開說明書中譯本及投資人須知，於修正後3日內辦理公告。

四、若盧森堡主管機關嗣後有未同意本案變更事項之情事，請儘速向本會申報。

正本：施罗德證券投資信託股份有限公司（代表人巫慧燕女士）

副本：中央銀行、中華民國證券投資信託暨顧問商業同業公會（代表人劉宗聖先生）、  
臺灣集中保管結算所股份有限公司（代表人朱漢強先生）

2022/08/20  
交14號:21章

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**Appendix 2**

ISIN codes of the Share Classes impacted by this change:

<b>Share Class</b>	<b>Share Class Currency</b>	<b>ISIN Code</b>
A Accumulation	USD	LU0228659784
A1 Accumulation	USD	LU0228659867
B Accumulation	USD	LU0228659941
C Accumulation	USD	LU0228660014
C Distribution	USD	LU2004793605
I Accumulation	USD	LU0228660105
S Accumulation	USD	LU1046232473
C Accumulation	CHF	LU0820944402
A Accumulation	EUR	LU0232931963
A Distribution	EUR	LU0858243842
A1 Accumulation	EUR	LU0248178906
B Accumulation	EUR	LU0232932698
C Accumulation	EUR	LU0232933159
A Distribution	GBP	LU0242619723
A Accumulation	HKD	LU0828237510

## Appendix 1

Deletions are shown as crossed out text

Previous Investment Objective and Policy	New Investment Objective and Policy
<p><b>Investment Objective</b></p> <p>The Fund aims to provide capital growth in excess of the MSCI BIC (Net TR) 10/40 index after fees have been deducted over a three to five year period, by investing in equity and equity related securities of Brazilian, Russian, Indian and Chinese companies.</p> <p><b>Investment Policy</b></p> <p>The Fund is actively managed and invests at least two-thirds of its assets in a range of equity and equity related securities of Brazilian, Russian, Indian and Chinese companies.</p> <p>The Fund may invest directly in China B-Shares and China H-Shares and may invest less than 20% of its assets (on a net basis) directly or indirectly (for example via participatory notes) in China A-Shares through Shanghai-Hong Kong Stock Connect and Shenzhen-Hong Kong Stock Connect and shares listed on the STAR Board and the ChiNext.</p> <p>The Fund may also invest up to one-third of its asset directly or indirectly in other securities (including other asset classes), countries, regions, industries or currencies, Investment Funds, warrants and Money Market Investments, and hold cash (subject to the restrictions provided in Appendix I).</p> <p>The Fund may use derivatives with the aim of achieving investment gains, reducing risk or managing the Fund more efficiently.</p> <p>The Fund maintains a higher overall sustainability score than the MSCI BIC (Net TR) 10/40 index, based on the Investment Manager's rating criteria. More details on the investment process used to achieve this can be found in the Fund Characteristics section.</p> <p>The Fund does not directly invest in certain activities, industries or groups of issuers above the limits listed under "Sustainability Information" on the Fund's webpage, accessed via <a href="http://www.schroders.com/en/lu/private-investor/gfc">www.schroders.com/en/lu/private-investor/gfc</a>.</p>	<p><b>Investment Objective</b></p> <p>The Fund aims to provide capital growth in excess of the MSCI BIC (Net TR) 10/40 index after fees have been deducted over a three to five year period, by investing in equity and equity related securities of Brazilian, <del>Russian</del>, Indian and Chinese companies.</p> <p><b>Investment Policy</b></p> <p>The Fund is actively managed and invests at least two-thirds of its assets in a range of equity and equity related securities of Brazilian, <del>Russian</del>, Indian and Chinese companies.</p> <p>The Fund may invest directly in China B-Shares and China H-Shares and may invest less than 20% of its assets (on a net basis) directly or indirectly (for example via participatory notes) in China A-Shares through Shanghai-Hong Kong Stock Connect and Shenzhen-Hong Kong Stock Connect and shares listed on the STAR Board and the ChiNext.</p> <p>The Fund may also invest up to one-third of its asset directly or indirectly in other securities (including other asset classes), countries, regions, industries or currencies, Investment Funds, warrants and Money Market Investments, and hold cash (subject to the restrictions provided in Appendix I).</p> <p>The Fund may use derivatives with the aim of achieving investment gains, reducing risk or managing the Fund more efficiently.</p> <p>The Fund maintains a higher overall sustainability score than the MSCI BIC (Net TR) 10/40 index, based on the Investment Manager's rating criteria. More details on the investment process used to achieve this can be found in the Fund Characteristics section.</p> <p>The Fund does not directly invest in certain activities, industries or groups of issuers above the limits listed under "Sustainability Information" on the Fund's webpage, accessed via <a href="http://www.schroders.com/en/lu/private-investor/gfc">www.schroders.com/en/lu/private-investor/gfc</a>.</p>