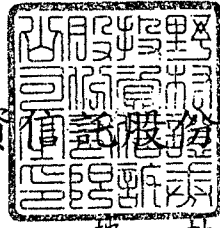


野村證券投資信託有限公司 函



地址：110 台北市信義路五段 7 號 30 樓
聯絡電話：02-8758 1577
聯絡人：賴韋婷

受文者：如行文單位

發文日期：中華民國 110 年 10 月 21 日

發文字號：野村信字第 1100000556 號

附件：1. 中、英文股東通知書
2. 金管會核准函
3. 基金各級別名稱更名前後對照表

主旨：野村基金(愛爾蘭系列)境外基金 2021 年 10 月 20 日特別股東大會結果通知及公開說明書更新。

說明：

- 一、 本公司經金融監督管理委員會核准，擔任野村基金(愛爾蘭系列)境外基金之總代理人，在國內募集及銷售，合先敘明。
- 二、 茲函轉野村基金(愛爾蘭系列)於 2021 年 10 月 20 日召開特別股東大會之結果通知。
- 三、 因野村(愛爾蘭系列)-全球高收益債券基金投資方針的變更，本基金將於 2021 年 11 月 4 日更名為野村(愛爾蘭系列)-全球永續高收益債券基金，此更名已於中華民國 110 年 7 月 26 日金管證投字第 1100349981 號函同意，變更前後之名稱詳如附件，相關各股別之基金代碼及 ISIN Code 仍維持不變，煩請協助辦理。
- 四、 上述變動將反映於民國 110 年 11 月之新版公開說明書中，詳細內容請參閱隨函附上之相關中、英文股東通知書、金管會核准函以及基金各級別名稱更名前後對照表，敬請 查照。

總經理 馬文玲

正本：

台中商業銀行股份有限公司、高雄銀行股份有限公司、永豐商業銀行股份有限公司理財商品部、王道商業銀行股份有限公司、安泰商業銀行股份有限公司、玉山商業銀行股份有限公司、元大商業銀行股份有限公司、遠東國際商業銀行股份有限公司、台灣新光商業銀行股份有限公司、三信商業銀行股份有限公司、京城商業銀行股份有限公司、上海商業儲蓄銀行股份有限公司、板信商業銀行股份有限公司、台新國際商業銀行股份有限公司、凱基商業銀行股份有限公司、聯邦商業銀行股份有限公司、臺灣中小企業銀行股份有限公司、華泰商業銀行股份有限公司、台北富邦商業銀行股份有限公司、瑞興商業銀行股份有限公司、日盛國際商業銀行股份有限公司、華南商業銀行股份有限公司、陽信商業銀行、彰化商業銀行股份有限公司、兆豐國際商業銀行股份有限公司、國泰世華商業銀行股份有限公司、容海國際證券投資顧問股份有限公司、元大證券股份有限公司、鉅亨證券投資顧問股份有限公司、中租證券投資顧問股份有限公司、凱基證券股份有限公司、元富證券股份有限公司、永豐金證券股份有限公司、統一綜合證券股份有限公司、日盛證券股份有限公司、基富通證券股份有限公司、法商法國巴黎人壽保險股份有限公司台灣分公司、英屬百慕達商安達人壽保險股份有限公司台灣分公司、合作金庫人壽保險股份有限公司、安聯人壽保險股份有限公司、台灣人壽保險股份有限公司、宏泰人壽保險股份有限公司、三商美邦人壽保險股份有限公司、富邦人壽保險股份有限公司、日盛證券投資信託股份有限公司、群益證券投資信託股份有限公司、合作金庫證券投資信託股份有限公司、安聯證券投資信託股份有限公司、復華證券投資信託股份有限公司、聯邦證券投資信託股份有限公司、台新證券投資信託股份有限公司、第一金證券投資信託股份有限公司、瀚亞證券投資信託股份有限公司、富蘭克林華美證券投資信託股份有限公司

(中譯文僅供參考，與原文相較不盡完整，亦有歧異，如有疑義應以英文本為準)

(節譯文)

野村基金 (愛爾蘭系列)
(各子基金間責任分離之傘型基金)
33 Sir John Rogerson's Quay
Dublin 2
Ireland

致：野村基金 (愛爾蘭系列) – 全球高收益債券基金 (本基金主要係投資於非投資等級之高風險債券且配息來源可能為本金) 之股東

日期：2021 年 10 月 21 日

關於：野村基金 (愛爾蘭系列) (「本公司」)

野村基金 (愛爾蘭系列) – 全球高收益債券基金 (本基金主要係投資於非投資等級之高風險債券且配息來源可能為本金) (「本子基金」) – 特別股東大會之結果通知

親愛的股東，

我們提及的本公司，是根據 2011 年歐洲共同體 (可轉讓證券集合投資計畫) 法規 (及其修訂) 成立，並經愛爾蘭中央銀行 (「中央銀行」) 核准之開放式可變資本投資公司。

謹參酌 2021 年 9 月 28 日之本子基金股東通知函。

身為本公司之董事，我們謹通知您於 2021 年 10 月 20 日舉行之本子基金特別股東大會上，下列決議業經本子基金之股東以普通決議通過：

「茲核准，以取得中央銀行之核准為前提，載於本子基金 2021 年 9 月 28 日之股東通知函中關於本子基金之投資目標與投資方針變更。」

取決於中央銀行之核准，本子基金投資目標及投資方針之變更將於 2021 年 11 月 4 日午夜或其鄰近之日期生效。

倘台端對此等事項有任何疑問，請不吝於平日上午 9 點至下午 5 點間聯繫野村資產管理英國有限公司之 James Tucker (電話：0207 521 1841) 或 Yoko Beesley (電話：0207 521 1054)。

1

Directors: David Dillon, John Walley, James Tucker, Tomohisa Hanabata, Go Hiramatsu and James Downing
Registered Office: 33 Sir John Rogerson's Quay, Dublin 2.
Registered Number: 418598
Incorporated in Ireland
Regulated by the Central Bank of Ireland

10473460v1

(中譯文僅供參考，與原文相較不盡完整，亦有歧異，如有疑義應以英文本為準)

誠摯地，

董事

代表

野村基金（愛爾蘭系列）

NOMURA FUNDS IRELAND PLC
(an umbrella fund with segregated liability between sub-funds)
33 Sir John Rogerson's Quay
Dublin 2
Ireland

To: The Shareholders of: Nomura Funds Ireland – Global High Yield Bond Fund

Date: 21st October, 2021

Re: *Nomura Funds Ireland plc (the "Company")*
Nomura Funds Ireland – Global High Yield Bond Fund (the "Sub-Fund") – Notice of Outcome of EGM

Dear Shareholder,

We refer to the Company, which is an open-ended investment company with variable capital, authorised by the Central Bank of Ireland (the "Central Bank") pursuant to the European Communities (Undertakings for Collective Investment in Transferable Securities) Regulations, 2011 (as amended).

We refer to the Circular to Shareholders of the Sub-Fund dated as of 28th September, 2021.

We, as Directors of the Company, wish to notify you that the following resolution was passed as an Ordinary Resolution of Shareholders of the Sub-Fund at the Extraordinary General Meeting of the Sub-Fund held on 20th October, 2021:

"That, subject to Central Bank approval, the changes to the investment objective and investment policy of the Sub-Fund as described in the Circular to Shareholders in the Sub-Fund dated 28th September, 2021 be and are hereby approved."

Subject to Central Bank approval, the changes to the investment objective and investment policy of the Sub-Fund will become effective at midnight on or about 4th November, 2021.

If you have any queries in relation to this matter, please do not hesitate to contact James Tucker on 0207 521 1841 or Yoko Beesley on 0207 521 1054 at Nomura Asset Management U.K. Limited (weekdays 9.00am to 5.00pm).

Yours sincerely,

Director
For and on behalf of
Nomura Funds Ireland plc

Directors: David Dillon, John Walley, James Tucker, Tomohisa Hanabata, Go Hiramatsu and James Downing
Registered Office: 33 Sir John Rogerson's Quay, Dublin 2.
Registered Number: 418598
Incorporated in Ireland
Regulated by the Central Bank of Ireland

10473460v3

(中譯文僅供參考，與原文相較不盡完整，亦有歧異，如有疑義應以英文本為準)

(節譯文)

野村基金 (愛爾蘭系列)
(各子基金責任分離之傘型基金)
33 Sir John Rogerson's Quay
Dublin 2
Ireland

2021 年 10 月 21 日

致：野村基金 (愛爾蘭系列) 之全體股東

主旨：野村基金 (愛爾蘭系列) (「本公司」) 之公開說明書之更新

親愛的股東，

我們提及的本公司，是根據 2011 年歐洲共同體 (可轉讓證券集合投資計畫) 法規 (及其隨時修訂) 成立，並經愛爾蘭中央銀行 (「中央銀行」) 核准之開放式可變資本投資公司。野村資產管理英國有限公司 (「投資經理人」) 擔任本公司子基金之投資經理人。

身為本公司之董事，我們謹通知您，以取得中央銀行之核准為前提，茲擬就本公司進行以下變更，其將反映於本公司更新之公開說明書中。

公開說明書之變更

1. 根據永續金融揭露規範 (SFDR) 就子基金之重新分類

(與台灣無關，故略譯)

2. 投資於集合投資計畫

(與台灣無關，故略譯)

3. (該子基金與台灣無關，故略譯)

4. 移除提及倫敦銀行同業拆借利率 (LIBOR) 部分

1

(中譯文僅供參考，與原文相較不盡完整，亦有歧異，如有疑義應以英文本為準)

因今年年末 LIBOR 的退場，擬更新各子基金增補說明中（申購款項之）「付款時間」乙節，以就申購款項遲延付款之利息計算，移除提及「LIBOR」（以其作為參考利率）之部分。未來，投資人就結清申購款項之遲延付款，將被收取行政管理費用，而此等行政管理費用應相當於保管費，包含因遲延付款而生之利息（應按一般商業費率計算）。

因此，各增補說明之「付款時間」乙節將修訂如下：

「行政管理人必須於初始申購期間或是相關交易日（視各該申購情況而定）後三（3）個營業日之內收到結清之申購款項，惟本基金保留將所申購股份之發行延後至收到結清申購款項為止之權利。若於相關期間前未收到結清之申購款項，本子基金及其代表人得（在申購款項未能結清之情形，本子基金及其代表人應）取消對該投資人之股份配售，及/或向投資人計收行政管理費用，該等費用應向本子基金支付，且應[相當]於包括因投資人遲延付款所生利息之保管費（應按一般商業費率計算）。本基金得免除投資人支付上述利息或行政管理費用之全部或一部。此外，本基金有權利出售投資人於本子基金或於本基金之其他子基金的全部或一部之股份，以抵償上述利息或行政管理費用。」

時間表

謹告知股東，以取得中央銀行之核准為前提，上述變更將反映於本公司經修訂之公開說明書，其可於其發布後或2021年11月4日鄰近之日期或董事可能通知投資人之更晚日期，向本基金之行政管理人 Brown Brothers Harriman Fund Administration Services (Ireland) Limited 免費索取。

本公司董事謹通知如上所述之變更細節。

倘您對此等事項有任何疑問，請不吝於平日上午9點至下午5點間聯繫野村資產管理英國有限公司之 James Tucker（電話：0207 521 1841）或 Yoko Beesley（電話：0207 521 1054）。

誠摯地，

董事

代表

野村基金（愛爾蘭系列）

NOMURA FUNDS IRELAND PLC
(an umbrella fund with segregated liability between sub-funds)
33 Sir John Rogerson's Quay
Dublin 2
Ireland

21st October, 2021

To: All Shareholders of Nomura Funds Ireland plc

Re: *Nomura Funds Ireland plc (the "Company") – Update of Prospectus*

Dear Shareholder,

We refer to the Company, which is an open-ended investment company with variable capital, authorised by the Central Bank of Ireland (the "Central Bank") pursuant to the European Communities (Undertakings for Collective Investment in Transferable Securities) Regulations, 2011 (as amended). Nomura Asset Management U.K. Limited (the "Investment Manager") acts as investment manager of the sub-funds of the Company.

We as Directors of the Company wish to notify you that, subject to Central Bank approval, it is proposed to make the following changes to the Company, which will be reflected in an updated Prospectus of the Company.

Prospectus Changes

1. *Re-classification of Sub-Funds under SFDR*

It is proposed to re-classify the following sub-funds from Article 6 to Article 8 under Regulation (EU) 2019/2088 on sustainability-related disclosures in the financial service sector ("**SFDR**"):

1. Nomura Funds Ireland - Japan High Conviction Fund;
2. American Century Global Growth Equity Fund;
3. American Century Concentrated Global Growth Equity Fund;
4. American Century Emerging Markets Equity Fund;
5. American Century Global Small Cap Equity Fund;

(the "**Sub-Funds**").

Background

The Investment Manager of the Sub-Funds integrates sustainability risks into its investment decision-making process as per the disclosure requirements outlined in Article 6 of the SFDR. Accordingly, the Sub-Funds are

currently classified as Article 6 funds under SFDR. This is reflected in the revised Sub-Fund Supplements which were filed with the Central Bank in March, 2021 in accordance with SFDR requirements.

The Directors (in conjunction with the Investment Manager) has since reviewed the investment process of the Sub-Funds and considers that each of the Sub-Funds promotes environmental and social characteristics in a way that meets the specific criteria contained in Article 8 of SFDR. Accordingly, Shareholders are advised that the Directors (in conjunction with the Investment Manager) considers it appropriate to reclassify each of the Sub-Funds as Article 8 funds under SFDR.

Accordingly, the investment policy of the Sub-Funds as set out in the Sub-Fund Supplement will be amended by the insertion of a new Section headed "*Environmental, Social and Governance Factors*" in order to disclose the manner in which each Sub-Fund promotes environmental and social characteristics and information on how those characteristics are met in a way that meets the specific criteria contained in Article 8 of SFDR.

For the avoidance of doubt, Shareholders are notified that the Investment Manager will continue to integrate sustainability risks into its investment decision-making process in the manner set out in Article 6 of SFDR.

Rationale for Reclassification

The Investment Manager always placed a high degree of emphasis on incorporating ESG characteristics within its investment process and the Sub-Funds have always been managed in a manner that is compatible with Article 8 requirements. However, with all the uncertainty regarding the categorisation and specific requirements when the Company prepared for SFDR Level 1 implementation, a conservative approach was taken by the Board (with the recommendation of the Investment Manager) and it was decided to categorise the Sub-Funds as Article 6 funds under SFDR with the intention to upgrade each Sub-Fund to Article 8 after making sure that all Article 8 requirements were indeed fulfilled.

The Investment Manager will continue to review and consider the Sub-Funds' obligations under SFDR on an ongoing basis, in particular, pending further consultation and/or guidance on the Level 2 regulatory technical standards (the "RTS") applicable to SFDR and/or further guidance from local regulatory authorities, such as the Central Bank. Upon the adoption of the RTS, which are expected to enter into force during 2022, the Investment Manager will reassess the determination that the Sub-Funds constitute funds pursuant to Article 8 of SFDR. However, there is no guarantee that the Sub-Funds will remain classified as Article 8 funds and it may have to change such classification in the future.

2. Investment in Collective Investment Schemes

It is proposed to update the investment policy of each of the following sub-funds in order to clarify the circumstances in which each sub-fund can invest in collective investment schemes:

- American Century Global Growth Equity Fund
- American Century Concentrated Global Growth Equity Fund
- American Century Emerging Markets Equity Fund
- American Century Global Small Cap Equity Fund

(the "**Sub-Funds**")

Currently, the investment policy provides that each of the Sub-Funds may invest up to 10% of net assets in collective investment schemes in exceptional market conditions.

It is proposed to amend this wording to provide that the Sub-Funds may invest up to 10% of net asset in collective investment schemes in order to manage large cash flows into the relevant Sub-Funds. The new wording in each Sub-Fund Supplement will provide as follows:

"In order to manage large cash flows into the Sub-Fund, the Sub-Fund may also invest up to 10 % in other eligible collective investment schemes including equity exchange-traded funds."

3. Nomura Funds Ireland - Emergency Market Local Currency Debt Fund

It is proposed to update the Investment Policy of the Nomura Funds Ireland – Emerging Market Local Currency Debt Fund (the "Sub-Fund") to clarify that the Sub-Fund may invest in debt and debt-related securities in China via the Bond Connect Scheme, by inserting the following wording in the second paragraph of the investment policy of the Sub-Fund:

"Shareholders should note that the Sub-Fund may also invest in Debt and Debt-Related Securities in the People's Republic of China ("PRC") via the Bond Connect scheme, as further described in the subsection headed "Risks associated with the Bond Connect Scheme" and "Risks associated with the China Interbank Bond Market" under "Risk Factors" below."

The risks associated with the Bond Connect Scheme will be set out in the revised Sub-Fund Supplement.

Shareholders are advised that the above amendment is for clarification purposes only on the basis that the Sub-Fund's index has included China securities for some time. The weighting of the Sub-Fund in such securities will be guided by (i) the Index (BM) weight in China and (ii) market conditions.

4. Removal of references to LIBOR

Due to the phase out of LIBOR at the end of this year, it is proposed to update the section headed "Timing of Payment" (of subscription monies) in each Sub-Fund Supplement in order to remove the reference to "LIBOR" as the reference rate for the calculation of interest on late payment of subscription monies. Going forward, investors will be charged an administration fee on late payment of cleared subscription funds, where such administrative fee shall be representative of the custody fees including interest incurred as a result of the late payment (which shall be at normal commercial rates).

Accordingly, the Section headed "Timing of Payment" in each Supplement will be amended to provide as follows:

"Payment in respect of subscriptions must be received in cleared funds by the Administrator no later than three (3) Business Days after the end of the Initial Offer Period or the relevant Dealing Day (as the case may be) provided that the Fund reserves the right to defer the issue of Shares until receipt of cleared subscription monies by the Fund. If payment in cleared funds in respect of a subscription has not been received by the relevant time, the Fund or its delegate may (and in the event of non-clearance of funds, shall) cancel the allotment and/or charge the investor an administration fee which will be paid into the Sub-Fund and shall be representative of the custody fees including interest incurred as a result of the late payment (which shall be at normal commercial rates). The Fund may waive such charges in whole or in part. In addition, the Fund has the right to sell all or part of the investor's holding of Shares in the Sub-Fund or any other sub-fund of the Fund in order to meet such charges."

Timeframe

Shareholders are advised that, subject to Central Bank approval, the changes outlined above will be reflected in a revised Prospectus of the Company, which will be available from the Fund's administrator, Brown Brothers

Harriman Fund Administration Services (Ireland) Limited, free of charge once it has been issued on or about 4th November, 2021 or such later date as the Directors may notify investors.

The Directors of the Company hereby give notice of the changes as detailed above.

If you have any queries in relation to this matter, please do not hesitate to contact James Tucker on 0207 521 1841 or Yoko Beesley on 0207 521 1054 at Nomura Asset Management U.K. Limited (weekdays 9.00am to 5.00pm).

Yours sincerely,

Director
For and on behalf of
Nomura Funds Ireland plc

附件-各級別名稱更名前後對照表

ISIN Code	變更前基金名稱	變更後基金名稱
IE00BJLMMWH85	野村基金(愛爾蘭系列)-全球高收益債券基金(TI 美元類股) Nomura Funds Ireland-Global High Yield Bond Fund TI Share USD 註:1股僅限專業投資機構購買	野村基金(愛爾蘭系列)-全球永續高收益債券基金(TI 美元類股) Nomura Funds Ireland-Global Sustainable High Yield Bond Fund TI Share USD 註:1股僅限專業投資機構購買
IE00BJLMMWK15	野村基金(愛爾蘭系列)-全球高收益債券基金(T 美元類股) Nomura Funds Ireland-Global High Yield Bond Fund T Share USD	野村基金(愛爾蘭系列)-全球永續高收益債券基金(T 美元類股) Nomura Funds Ireland-Global Sustainable High Yield Bond Fund T Share USD
IE00BJLMMWJ00	野村基金(愛爾蘭系列)-全球高收益債券基金(TD 美元類股) Nomura Funds Ireland-Global High Yield Bond Fund TD Share USD	野村基金(愛爾蘭系列)-全球永續高收益債券基金(TD 美元類股) Nomura Funds Ireland-Global Sustainable High Yield Bond Fund TD Share USD
IE00BJLMMWL22	野村基金(愛爾蘭系列)-全球高收益債券基金(TD 澳幣避險類股) Nomura Funds Ireland-Global High Yield Bond Fund TD Share AUD Hedged	野村基金(愛爾蘭系列)-全球永續高收益債券基金(TD 澳幣避險類股) Nomura Funds Ireland-Global Sustainable High Yield Bond Fund TD Share AUD Hedged
IE00BJLMMWM39	野村基金(愛爾蘭系列)-全球高收益債券基金(BD 美元類股) Nomura Funds Ireland-Global High Yield Bond Fund BD Share USD	野村基金(愛爾蘭系列)-全球永續高收益債券基金(BD 美元類股) Nomura Funds Ireland-Global Sustainable High Yield Bond Fund BD Share USD

正本

檔 號：
保存年限：

A210700103

110. 7. 29

金融監督管理委員會 函

地址：22041新北市板橋區縣民大道2段7號18樓

承辦人：陳先生

電話：02-27747348

傳真：02-87734154

受文者：野村證券投資信託股份有限公司（代表人毛昱文先生）

發文日期：中華民國110年7月26日

發文字號：金管證投字第1100349981號

速別：普通件

密等及解密條件或保密期限：

附件：

主旨：所請貴公司擔任總代理人之「野村基金（愛爾蘭系列）－全球高收益債券基金」（Nomura Funds Ireland – Global High Yield Bond Fund）變更中英文名稱為「野村基金（愛爾蘭系列）－全球永續高收益債券基金」（Nomura Funds Ireland – Global Sustainable High Yield Bond Fund）一案，准予照辦，並請依說明事項辦理，請查照。

說明：

- 一、依據本會證券期貨局案陳貴公司110年7月14日野村信字第1100000394號函辦理。
- 二、自基金名稱變更之日起1年內，請於投資人須知及公開說明書中譯本並列基金之新舊名稱。貴公司及銷售機構應將更名情事通知投資人。
- 三、貴公司應依境外基金管理辦法第12條第6項規定，於事實發生日起3日內經由本會指定之資訊傳輸系統（www.fundclear.com.tw）辦理公告，並將修正後之公開說明書中譯本及投資人須知，依前揭辦法第37條及39條之規定於修正後3日內辦理公告。
- 四、若註冊地主管機關或股東會嗣後有未同意本案變更事項之情事，請儘速向本會申報。

正本：野村證券投資信託股份有限公司（代表人毛昱文先生）

副本：中央銀行、中華民國證券投資信託暨顧問商業同業公會（代表人張錫先生）、
臺灣集中保管結算所股份有限公司（代表人林修銘先生）

主任委員 **黃天牧**

授權單位主管決行並鈐印