

FATCA及CRS自我聲明書(法人版)

FATCA and CRS Self-Certification Form (for Entity)

填表說明Notes :

若帳戶持有人或其應提供之具控制權之人具有非中華民國之稅籍，請以英文填寫此份聲明書。

Please fill in this form in English if the Account Holder or its Controlling Person(s) has any tax residency outside the Republic of China *Taiwan)(“ROC”).

第一部分：帳戶持有人資料 Part I: Account Holder Information

A. 實體/組織之法定名稱 Legal Name of Entity or Organization : _____

B. 統一編號/公司註冊證書號碼 Certificate of incorporation or Business Registration Number.

C. 註冊地址 Permanent Residence Address

同本次申請文件之營業地址(此選項僅限開戶且僅為中華民國之稅務居民使用，惟若帳戶持有人有應提供具控制權之人之資訊，該具控制權之人具有非中華民國之稅籍時，請勿勾選此項)

Same as the address in the application form (This Box is only applicable to opening account and only a Taiwan (R.O.C) tax resident. If the box is ticked, please provide the country of permanent residence address. However, if the Account Holder's Controlling Persons who are required to be declared have any tax residency other than Taiwan, please do not tick the box.)

非屬上述情況，請另列如下 Other :

_____ (國家/地區 Country/Region)

_____ 地址(address)

D. 實際管理處所的地址 Place of effective management of address: (請勿留存郵政信箱或送達代收人地址，除非為帳戶持有人之實際管理處所的地址) (Do not use a P.O. box or in care of address(unless this is your effective management of address))

註：實際管理處所係指 Place of effective management is the place where

1. 作成重大管理決策之地點；及
decisions that are necessary for the conduct of the enterprise's business are in substance made , and
2. 財務報表、會計帳簿紀錄、董事會議事錄或股東會議事錄之製作或儲存處所；及
its financial statements, accounting records , a board of directors or shareholders minutes are kept , and
3. 實際執行主要經營活動處所。
a company is actually managed and controlled.

同上註冊地址之國家 Same country of Permanent Residence Address above

與註冊地址之國家不同時，請另列如下 please enter the place of effective management of address if the country is different from the address shown in item C above)

_____ (國家/地區 Country/Region) 地址(address)

第二部分：稅籍 Part II: Tax Residence(s)

1. 帳戶持有人僅為中華民國之稅務居民。

The Account holder is only an ROC tax resident.

2. 帳戶持有人不是或不僅為中華民國稅務居民，並請於下方表格中註明帳戶持有人的所有稅籍 (包含但不限於註冊地、實際管理處所及主要辦事處所位處的國家)。無法提供 TIN 者，請選填原因 A、B 或 C。

The Account holder is not or not only an ROC tax resident. Please indicate in the table below the Account Holder's jurisdiction of tax residence (including but not limited to the registered place, the place of effective management or country in which its principal office is located).If a TIN is unavailable please provide the appropriate reason A, B or C where appropriate:

稅籍國家 Country /jurisdiction of tax residence	稅籍編號 Tax Identification Number	無法提供稅籍編號 者，請勾填原因 A、B(含原因)或 C If no TIN is available, please enter Reason A, B (with explanation) or C
		原因 Reason <input type="checkbox"/> A <input type="checkbox"/> B : _____ <input type="checkbox"/> C
		原因 Reason <input type="checkbox"/> A <input type="checkbox"/> B : _____ <input type="checkbox"/> C

原因 Reason A B: _____ C

- 原因 Reason: **A** 帳戶持有人所屬的稅務國家並無發給稅籍編號予其稅務居民。The country/jurisdiction where the Account Holder is a tax resident does not issue TINs to its residents.
- B** 帳戶持有人無法取得稅籍編號或類似編號。(若選填此項者,請解釋無法取得稅籍編號之原因) The Account Holder is otherwise unable to obtain a TIN or equivalent number. (Please explain why you are unable to obtain a TIN if you have selected this reason)_
- C** 無需提供稅籍編號。(僅針對所填寫之稅籍國家/地區國內法未要求蒐集稅籍編號時,才能選填此項) No TIN is required. (Note. Only select this reason if the domestic law of the relevant country/ jurisdiction of tax residence does not require the collection of the TIN)

第三部分：帳戶持有人身分別 Part III: Account Holder's Status

請勾選最適之身分別選項。 Note: please select the most appropriate status.

帳戶持有人聲明以下勾選之身分別項目均為真實且正確 The Account Holder certifies that the status is true and accurate by selecting one of the boxes below :

1. 帳戶持有人為金融機構, 提供 GIIN 如下並聲明所屬身分別為 _____ The Account Holder is a financial institution and agrees to provide the GIIN as below and declare the status is:

GIIN : _____ 無 GIIN(續填第四部分 Complete Part IV)

若為受贊助實體, 請一併提供贊助實體之資訊 For Sponsored Entity, please also provide Sponsoring Entity's information:

贊助實體名稱 Name of Sponsoring Entity _____

贊助實體 GIIN Name of Sponsoring Entity _____

請勾選以下最適類別: Please select one of below categories as appropriate

- 投資實體(由另一金融機構管理, 且非位於應申報國或參與國之投資實體) 請填寫【附錄一: 具控制權之人資訊】(代碼 Code A010)

Investment Entity, an Investment Entity that is managed by another Financial Institution and located outside a Reportable Jurisdiction or a Participating Jurisdiction - Please complete 【Appendix I Controlling Person】

- 金融機構 (不包含上列身分) (代碼 Code A020)

Financial Institution (excluded an investment entity located in a non-reportable jurisdiction non-participating jurisdiction and managed by another financial institution)

2. 帳戶持有人為各級政府、中央銀行或國際組織或由該等實體完全持有之實體(代碼 Code A030)

The Account Holder is Governmental entity, Central Bank or International Organization, or an entity wholly owned by one or more of the foregoing entities.

3. 帳戶持有人屬上市 (櫃)、興櫃公司或其關係企業*(代碼 Code A040)

The Account Holder is a publicly traded non-financial entity or an affiliate* of such.

請填寫下列欄位之資訊(二擇一填寫)

If this box is ticked, please also provide the information based on your status.

- 帳戶持有人屬上市 (櫃)、興櫃公司 The Account Holder is a publicly traded non-financial entity.

該公司股票經常性交易於【請填寫證券市場名稱】whose stock is regularly traded on an established securities market.

【Please fill in the name of the established securities market upon which the stock is regularly traded】

臺灣證券交易所 Taiwan Stock Exchange 證券櫃檯買賣中心 Taipei Exchange

其他 other _____

- 帳戶持有人為上市(櫃)、興櫃公司之關係企業 The Account Holder is an affiliate of publicly traded non-financial entity.

該上市 (櫃)、興櫃公司之名稱 The name of publicly traded non-financial entity

該公司股票經常性交易於【請填寫證券市場名稱】whose stock is regularly traded on an established securities market. 【Please fill in the name of the established securities market upon which the stock is regularly traded】

臺灣證券交易所 Taiwan Stock Exchange 證券櫃檯買賣中心 Taipei Exchange

其他 other _____

※「關係企業」係指一實體控制另一實體或兩實體由相同之人控制, 該兩實體互為關係實體。所稱控制, 指直接或間接持有一實體之表決權及價值超過百分之五十。

An Entity is a "Related Entity" of another Entity if either Entity controls the other Entity, or the two Entities are under common control.

For this purpose control includes direct or indirect ownership of more than 50% of the vote and value in an Entity.

4. 帳戶持有人為積極非金融機構實體 (符合下列定義或其一身分類別者)

The Account Holder is an active non-financial entity which qualifies the below definition or meets one of the following status.

定義 Definition

帳戶持有人於前一會計年度之股利、利息、租金、權利金、金融資產交易增益、貨幣匯兌增益或其他非積極營業活動產生收入之合計數未達收入總額的 50%，且於該期間內持有用於取得該非積極營業活動收入之資產，未達其資產總額的 50%。

Less than 50 percent of its gross income during the preceding calendar year is passive income (i.e., dividends, interest, rent, royalties, the excess of gains over losses from the sale or exchange of Financial Assets that gives rise to the passive income described previously, the excess of foreign currency gains over foreign currency losses or other passive income), and less than 50% of the assets held by the NFE during that calendar year are assets that produce, or are held for the production of, passive income

請勾選以下最適類別：Please select one of below categories as appropriate

A 屬下列身分之一(代碼 Code A050) The NFE is one of the following status

1. 帳戶持有人屬上述定義之非金融機構實體。Holding NFE that qualifies the definition addressed above.
2. 帳戶持有人屬非金融集團成員的控股非金融機構實體。Holding NFE that is a member of a nonfinancial group
3. 帳戶持有人屬非金融集團成員的財務中心。Treasury center that is a member of a nonfinancial group.
4. 帳戶持有人屬非營利組織。Non-profit NFE.

B 帳戶持有人屬清算中或破產中的非金融機構實體。(代碼 Code A060)

NFEs that are liquidating or emerging from bankruptcy

5. 帳戶持有人為消極非金融機構實體—請填寫【附錄一：具控制權之人資訊】(□具有美籍具控制權之人，代碼 Code A070；□不具有美籍具控制權之人，代碼 Code A071)

The Account Holder is a **passive non-financial entity**. – Please complete 【Appendix I Controlling Person】

第四部分：特殊 FATCA 身分別 Part IV: Special FATCA Status

1. 第三部分勾選帳戶持有人為金融機構且無 GIIN 者，請提供 W-8-BEN-E 或 W-8-IMY 或 W-8-EXP 等美國國稅局(IRS)W-8 系列之正式稅務文件，以茲證明 FATCA 身分。(If the Account Holder is a financial institution and does not have a GIIN then provide Form W-8-BEN-E, W-8-IMY or W-8-EXP for FATCA identification purposes.)

帳戶持有人為 FATCA 定義下之持有所有人證明文件之金融機構且具有美籍債權人(FATCA 代碼 Code B020)The Account Holder is an Owner-documented FFI and has U.S. owner under FATCA.

帳戶持有人為 FATCA 定義下之持有所有人證明文件之金融機構且無美籍債權人 (FATCA 代碼 Code B021)The Account Holder is an Owner-documented FFI and has no U.S. owner under FATCA.

帳戶持有人為 FATCA 定義下之未簽署 FFI 協議之金融機構(FATCA 代碼 Code B030)
The Account Holder is a Nonparticipating FFI under FATCA.

帳戶持有人為上述以外之金融機構(FATCA 代碼 Code B010)
The Account Holder is not applicable to above situation.

2. 第三部分勾選 A050 或 A060 或 A070 或 A071 之身分別，若屬下列 FATCA 身分，請勾選。

If the A05 or A06 or A070 status is selected in the Part III, please tick one box if the Account Holder is one of FATCA status as below :

帳戶持有人為 FATCA 定義下之特定美國法人 (FATCA 代碼 Code 1100)

The Account Holder is a **Specified U.S. Person** under FATCA.

帳戶持有人為 FATCA 定義下之逕行申報之外國非金融實體身分(FATCA 代碼 Code A080)

The Account Holder is a **Direct Reporting NFFE** under FATCA.

聲明及簽署

Declarations and Signature

本人證明，與本聲明書相關之所有帳戶，本人業經帳戶持有人授權簽署本聲明書。

I certify that I am authorized to sign for the Account Holder of all the account(s) to which this form relates.

本人(即帳戶持有人)知悉並同意，本聲明書所載的資料(包含具控制權之人之資訊)、相關帳戶持有人及任何應申報帳戶的資料會向中華民國稅務機關或美國國稅局申報，並聲明本聲明書所填報內容均屬真實、正確及完整。倘爾後有情事變更致影響帳戶持有人之稅籍或使本聲明書之內容已不正確或不完整，本人承諾於變更日起 30 天內通知凱基商業銀

行股份有限公司(下稱凱基銀行)前述變更，並承諾提供適當更新之聲明書予凱基銀行。本聲明書除美國海外帳戶稅收遵從法案 (Foreign Account Tax Compliance Act) 及其相關法令(下統稱 FATCA 法案)以外，應以中華民國之法令為準據法。倘開戶申請書之內容與本聲明書有衝突時，以本聲明書為準。

I (the "Account Holder") acknowledge and agree that all information contained in this form (including Controlling Persons) and information regarding the Account Holder and any Reportable Account(s) information may be provided to the tax authority of the Republic of China (Taiwan)("ROC") or the IRS. I declare that all information given and statements made in this form are true, correct and complete. I undertake to notify KGI Bank Co., Ltd. (the "Bank") promptly of any change in circumstances which affects the tax residency status of the Account Holder identified in this form or causes the information contained herein to become incorrect or incomplete, and to provide the Bank with a suitably updated self-certification form within 30 days of such change in circumstances. In addition to the Foreign Account Tax Compliance Act and its related laws and regulations ("FATCA"), the governing law of this form shall be the laws of the ROC. In the event of any discrepancy between the account opening form and this form, this form shall prevail.

另配合凱基銀行遵循 FATCA 法案及外國金融機構協議 (FFI Agreement，下簡稱「協議」) 之相關規範，本人茲聲明並同意下列事項：

Whereas I intend to establish account(s) and to proceed transactions with the Bank, in order to cooperate in the Bank's compliance with FATCA and Foreign Financial Institution Agreement (FFI Agreement, hereinafter referred to as "Agreement"), I hereby declare and agree to the following:

一、提供具控制權之人(含股東及逾 5 萬美元債權人之名單及自然人股東及債權人)及其所出具之同意書予凱基銀行，俾利凱基銀行依 FATCA 法案及遵循協議辦理辨識及申報等相關事宜。

I agree to provide the Bank with the list of controlling persons(including shareholders and more than USD50,000 creditors contain natural person) and their letters of consent for the purpose of identification and reporting by the Bank in accordance with FATCA and with the Agreement.

二、本人同意將留存於凱基銀行之一切交易資訊，包括本人之帳戶帳號及帳戶餘額、帳戶總收益金額、交易明細等，供凱基銀行依 FATCA 法案及遵循協議辦理辨識及申報等相關事宜。

I agree to provide all transaction information kept at the Bank, including account number, account balance, the gross receipts and statement of the account, to the Bank for the purpose of identification and reporting in accordance with FATCA and with the Agreement.

三、本人知悉未簽署協議之金融機構 (Non-participating foreign financial institution，NPFFI) 之美國來源所得將可能會被美國政府課以 30% 的扣繳稅，倘本人依 FATCA 法案規定被視為未簽署協議之金融機構 (NPFFI)，而致交易金額中屬於應扣繳款項及外國轉付款項須辦理扣繳之金額，及致凱基銀行因此所增加之成本與費用，凱基銀行皆得自應支付或返還予本人之金額中扣除。

In the event that I have been identified as non-participating foreign financial institution (NPFFI) in accordance with FATCA, the Bank may deduct, from the funds to be paid or returned to me, any withholdable payment or foreign passthru payment on transactions and for any increased costs and fees for the Bank resulting therefrom.

前項所稱應扣繳款項(withholdable payment)，係指包括但不限於任何源自美國的固定或可得確定年度或定期所得、利潤和收入之款項(例如利息、股利、租金、薪資、工資、溢酬、年金、賠償金、報酬、津貼)，以及任何因銷售或處分任何產生美國來源收入的利息或股利的財產所獲得之交易總所得(gross proceeds)，包含股票、債券等。所稱外國轉付款項(foreign passthru payment)，係指任何應扣繳款項或可歸責為應扣繳款項的其他支付款項。須辦理扣繳之金額比例通常為總金額之百分之三十，惟正確之扣繳金額仍以實際須辦理扣繳之金額為準。

The withholdable payment shall mean without limitation, any fixed or determinable annual or periodic gains, profits and income (FDAP income) from sources within the United States (such as payment of interest, dividends, rents, salaries, wages, premiums, annuities, compensations, remunerations, emoluments) and any gross proceeds from the sale or other disposition of any property of a type which can produce interest or dividends from sources within the United States, including stocks and bonds. The foreign passthru payment shall mean any withholdable payment or any other payment that is attributable to a withholdable payment. The amount to be withheld or retained to be held in escrow is usually 30 percent of the withholdable payment. However, the exact amount to be withheld shall be subject to the actual amount required to be withheld.

四、如本人不遵守本聲明書、拒絕提供凱基銀行為遵循 FATCA 法案及協議所需之資料、或本人之具控制權之人(含股東及逾 5 萬美元債權人之名單及自然人股東及債權人)/所有人嗣後撤回、撤銷同意，或請求凱基銀行停止蒐集、處理、利用或國際傳輸，或刪除該具控制權之人(含股東及逾 5 萬美元債權人之名單及自然人股東及債權人)/所有人之個人資料時，本人應協助重新取得該具控制權之人(含股東及逾 5 萬美元債權人之名單及自然人股東及債權人)/所有人之同意；倘經凱基銀行定三十日以上期間催告改善而未改善，本人同意於改善期間屆滿後，凱基銀行得終止所有屬 FATCA 法案規範金融商品之契約、帳戶、往來業務關係及提供相關之服務，並逕依各該契約約定或法律規定廢止辦理。

In the event that I fail to fulfill any obligation under this form or refuse to provide the information as required for the compliance of FATCA and the Agreement, or any of controlling persons(including shareholders and more than

USD50,000 creditors contain natural person) or beneficial owners withdraws or revokes consent thereof, or requests the Bank to discontinue the collection, processing, use or international transmission of the personal information or delete the personal information, I shall assist in reacquiring such controlling person's (including shareholders and more than USD50,000 creditors contain natural person) or beneficial owner's consent. Should I fail to make corrections within the period prescribed by the Bank, which shall be no less than 30 days, I agree that upon the expiration of the prescribed period, the Bank may terminate all contract, accounts, business relationship and services related to financial products under FATCA, and proceed in accordance with the provisions of applicable agreements or relevant laws and regulations.

若本人提供予凱基銀行之資料包含本人以外第三人之個人資料時，本人聲明已以適當方式使該第三人知悉其個人資料會提供予凱基銀行，由凱基銀行於遵循法規要求及與本聲明書相關之其他目的範圍進行蒐集、處理、利用及國際傳輸。本人並應使該第三人瞭解依其所適用之法令而應予告知之所有內容及其權利，並同意凱基銀行得為上述蒐集、處理、利用及國際傳輸該第三人之個人資料，故凱基銀行得免再告知該第三人或再取得該第三人之同意。

If the information I provide to the Bank includes personal information of a third party, I certify that I have properly informed such third party that his/her personal information will be provided to the Bank and the collection, processing, use and international transmission of personal information of such third party by the Bank are for the purpose of the compliance with applicable laws and regulations and any purpose in relation to this form. I shall also ensure that such third party understands all the contents and rights he/she should be notified or are entitled to in accordance with applicable laws and regulations and that such third party agrees that the Bank may process his/her personal information for the above-mentioned collection, processing, use and international transmission. Thus the Bank does not and will not have to inform such third party or obtain the consent of such third party again.

如因本人明知或罔顧本聲明書內的所有資料和聲明具誤導性、虛偽或不正確，致衍生任何稅務或其他責任或義務時，概由本人負責，與凱基銀行無關，本人並應賠償凱基銀行因此所受之損害(包括但不限於主管機關之處罰及交易對手之求償)及增加之成本與費用，且凱基銀行皆得自應支付或返還予本人之金額中逕行扣除。I shall bear full responsibilities regarding any tax or other liability or obligation attributable to the Account Holder's non-compliance with the terms and conditions as abovementioned (in making a self-certification, make a statement that is misleading, false or incorrect in a material particular and know, or am reckless as whether, the statement is misleading, false or incorrect in a material particular), and the Bank does not assume any liability therefrom. I shall compensate the Bank for damages suffered therefrom (including but not limited to the penalty imposed by the competent authority and claim from the counterparty) and additional costs and fees. The Bank may deduct from the amount payable or returnable to the Account Holder.

此致 凱基商業銀行

To KGI Bank Co., Ltd.

帳戶持有人簽章 _____ (加蓋立約章或經濟部大小章)

Signature of Account Holder : _____ (Affix with corporate chop for MOEA or contract)

身分 Capacity: _____ (例如：公司之董事、合夥之合夥人、信託之受託人等，若您是以代理人身分簽署此聲明書，請檢附授權書。) (e.g., Director of a company, partner of a partnership, trustee of a trust etc. If signing under a power of attorney, please also attach a certified copy of the power of attorney.)

簽署日期 Date : _____ 年(YYYY) _____ 月(MM) _____ 日(DD)

以下由銀行填寫 For Bank Use Only

聲明書合理性檢核：

1、開戶或其他 KYC 資料之國籍、稅籍、居住/註冊/實際管理處所 國家與聲明書稅籍國家是否一致(身分為 A010、A070、 A071，確認結果應含實質受益人)	<input type="checkbox"/> 是 <input type="checkbox"/> 否，說明 _____
2、公司或組織營業項目與其聲明之身分是否一致	<input type="checkbox"/> 是 <input type="checkbox"/> 否，說明 _____
3、如不一致，客戶說明是否合理	<input type="checkbox"/> 是 <input type="checkbox"/> 否(婉拒開戶) <input type="checkbox"/> 不適用

主管：

經辦(含驗印)：

109.03 版【SCAN】

【附錄一】具控制權之人資訊 【Appendix I】 Controlling Person

實體/組織名稱 Name of Entity or Organization : _____

實體的統一編號/公司註冊證書號碼 Business Registration No./ Business Registration No. of Entity : _____

若帳戶持有人具有一個以上之具控制權之人，請複製此表單填寫其他具控制權之人之資料。

If the Account Holders have more than one controlling person, please copy this a form and fill in other controlling person's information.

具控制權之人基本資料 Controlling Person Identification					
姓名 Name :					
居住地址 Residence Address 國家/地區 Country/Region: _____ 地址 address _____					
出生日期 Date of Birth _____年(YYYY)_____月(MM)_____日(DD)			出生地 Place of Birth 城市 City: _____ 國家/地區 Country/Region: _____		
<input type="checkbox"/> 具控制權之人僅為中華民國之稅務居民 Controlling Person is only an ROC tax resident. · 身分證字號 / 統一證號 ID / Uniform ID Numbers: _____ (如勾選此項，以下「稅籍」及「具控制權之人類別」內容則無需填寫 If the box is ticked, the following information of tax residence and type of controlling person is not required to fill in) <input type="checkbox"/> 具控制權之人不為或不僅為中華民國稅務居民，並於下方註明本人之所有稅籍 Controlling Person is not or not only an ROC tax resident. Below indicate all my country(ies) of tax residence					
稅籍國家 Country of tax residence	稅籍編號 Tax Identification Number	無法提供稅籍編號者，請勾填原因 A、B(含原因)或 C If no TIN is available, please enter Reason A, B (with explanation) or C			
		原因 Reason <input type="checkbox"/> A <input type="checkbox"/> B : _____ <input type="checkbox"/> C			
		原因 Reason <input type="checkbox"/> A <input type="checkbox"/> B : _____ <input type="checkbox"/> C			
		原因 Reason <input type="checkbox"/> A <input type="checkbox"/> B : _____ <input type="checkbox"/> C			
原因 Reason : A 我所屬的稅務國家並無發給稅籍編號予其稅務居民。 The country/jurisdiction where I am a tax resident does not issue TINs to its residents. B 我無法取得稅籍編號或類似編號。(若選填此項者，請解釋無法取得稅籍編號之原因) I am otherwise unable to obtain a TIN or equivalent number. (Please explain why you are unable to obtain a TIN if you have selected this reason). C 無需提供稅籍編號。(僅針對所填寫之稅籍國家/地區國內法未要求蒐集稅籍編號時，才能選填此項) No TIN is required. (Note. Only select this reason if the domestic law of the relevant country/ jurisdiction of tax residence does not require the collection of the TIN)					
具控制權之人類別 Type of Controlling Person 請勾選一個最適類別 Please select the most appropriate status					
1. 一般法人 Legal Person	<input type="checkbox"/> 直接或間接持有實體之股份、資本或權益超過 25%者 Individual who exercises control over an Entity owning directly or indirectly more than 25 percent of the Entity's shares, capital, or equities	<input type="checkbox"/> 透過其他方式對該實體行使控制權者 Individual who exercises control over the Entity through other means	<input type="checkbox"/> 該實體之高階管理人員 Individual who holds the position of senior managing official		
2. 信託 Legal Arrangement-Trust	<input type="checkbox"/> 委託人 Settlor	<input type="checkbox"/> 受託人 Trustee	<input type="checkbox"/> 信託監察人 Protector	<input type="checkbox"/> 受益人 Beneficiary	<input type="checkbox"/> 任何其他對該信託行使最終有效控制權之自然人 Any other Individual who exercises ultimate effective control over the trust
3. 除信託以外其他法律安排 Legal Arrangements other than Trust	<input type="checkbox"/> 具相當或類似委託人地位之個人 Individual in a position equivalent/similar to Settlor	<input type="checkbox"/> 具相當或類似受託人地位之個人 Individual in a position equivalent/similar to trustee	<input type="checkbox"/> 具相當或類似信託監察人地位之個人 Individual in a position equivalent/similar to protector	<input type="checkbox"/> 具相當或類似受益人地位之個人 Individual in a position equivalent/similar to beneficiary	<input type="checkbox"/> 任何其他對該安排行使最終有效控制權之自然人 Any other Individual who exercises ultimate effective control over the arrangements

※請檢附上述美籍具控制權之人之「遵循 FATCA 法案蒐集、處理及利用個人資料同意書」 Please attach the Letter of Consent for the Collection, Processing and Use of Personal Information for FATCA Compliance (Controlling Persons(including shareholders and more than USD50,000 creditors contain natural person)/Beneficial Owners of Institutional Client)" for controlling person who is an U.S tax resident.